

Roman Retirement Planning, LLC

Table of Fees for Services

Carefully read Item 4 and Item 5 of Form ADV Part 2A (“Brochure”), as these sections of the Brochure contain important details about Roman Retirement Planning, LLC advisory services and fees. Fees are generally negotiable. The fees below will only apply to you when you request the services listed. Please be advised that fees may not apply to all clients.

Fees Charged by Investment Adviser	Fee Amount	Frequency Fee is Charged	Services
Assets Under Management Fee	\$0 - \$500,000 = 1.50% \$500,001 - \$1,000,000 = 1.25% \$1,000,001 - \$3,000,000 = 1.00% \$3,000,000 – And Up = 0.75%	Quarterly in Arrears	Portfolio Management Services
Hourly Fee	n/a	n/a	n/a
Subscription Fee	n/a	n/a	n/a
Fixed Fee	Between \$1,000 and \$12,000	fees are paid 100% in advance	Financial Planning Services
Commissions to the Adviser	n/a	n/a	n/a
Performance-based Fee	n/a	n/a	n/a
Other: Selection of Other Adviser Fees	.47% of the fee schedule outlined above will be paid to AOS (.32% for platform services and .15% for advisory services)	Quarterly in Arrears	AOS platform and sub-adviser Services
Fees Charged by Third Parties	Fee Amount	Frequency Fee is Charged	Services
Third Party Money Manager	n/a	n/a	n/a
Robo-Adviser Fee	n/a	n/a	n/a
Talk with your Adviser about fees and costs applicable to you			

Additional fees and costs to discuss with your Adviser

Additional Fees/Cost	Yes/No	Paid To
Brokerage Fees	Yes	Charles Schwab & Co., Inc.
Commissions	Yes	Charles Schwab & Co., Inc.
Custodian Fees	Yes	Charles Schwab & Co., Inc.
Mark-ups	Yes	Charles Schwab & Co., Inc.
Mutual Fund/ETF Fees and Expenses	Yes	Mutual Fund Company

Effective
3/1/2020